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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/814,839	03/31/2004	Abdelkrim Karim Younsi	141241-1/YOD Gerd:0109	6481

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EXAMINER

NATALINI, JEFF WILLIAM

ART UNIT PAPER NUMBER

2858

DATE MAILED: 05/18/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

EIL

**Office Action Summary**

Application No.

10/814,839

Applicant(s)

YOUNSI ET AL.

Examiner

Jeff Natalini

Art Unit

2858

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-30 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-30 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 31 March 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 3/31/04.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_.

***Specification***

1. The disclosure is objected to because of the following informalities:
  - The reference label 48 is used for both a current sensor and a common conductor (see figs 2 and 3 and specification pg 6, paragraphs 20 and 21). Please correct this in both the figures and the specification.

Appropriate correction is required.

***Drawings***

2. The drawings are objected to because the minor informalities listed above in disclosure objections. Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

***Claim Objections***

3. Claim 6 is objected to because of the following informalities:
- In the first indentation at the end of the statement (last line on first page of claims) "the machine" has no antecedent basis. It is assumed for examination purposes "a rotating electric machine" is in the preamble as was the case in claim 1, so this "the machine" is referenced from that.

Appropriate correction is required.

***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 1-3, 5-8, 10-21, and 23-30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kohler et al. (5270640) in view of Kammer et al. (6392422).

In regard to claims 1, 2, 6, 7, and 29, Kohler et al. discloses a insulation monitoring method (col 1 line 15-20; incipient failures (throughout application) contain among others insulation tests) for a rotating electric machine (col 1 line 49-52) comprising measuring a first set of values for an instantaneous current and an instantaneous phase voltage (abstract; voltage and current are measured at each phase of the motor) during operation of the machine (col 1 line 52-55); calculating a second set of values for a phasor current and a phasor voltage based upon the first set

second set of values for a phasor current and a phasor voltage based upon the first set of values of the instantaneous current and the instantaneous voltage (fig 10 (62 and 64)); calculating an angular relationship between the phasor current and voltage (fig 10 (66)); calculating at least one desired parameter based on the angular relationship between the phasor current and the phasor voltage (fig 10 (68) - absolute phasor angle) and determining insulating condition based on the relationship (end of abstract) and where the values for instantaneous current and instantaneous phase voltage are measured via a current sensor (fig 8 (32, 34, 36)) and a voltage sensor (fig 8 (28, 30, 32)).

Kohler et al. lacks specifically stating wherein the current measured is a differential current, and the sensor is for sensing a differential current.

Kammer et al. teaches a device for monitoring insulation in a electrical network (abstract), in monitoring for these faults, a differential current is detected (col 1 line 61-61) as is a voltage (61-62) and an angle is measured between the values to determine if the network has a network has a fault (col 1 line 67 – col 2 line 1-3), the differential current is measured with a differential current sensor (col 3 line 56-62).

It would have been obvious to one with ordinary skill in the art at the time the invention was made for Kohler et al. to measure the differential current with a differential sensor as taught by Kammer et al. in order to allow a more accurate monitoring of faults (col 1 line 57-58).

In regard to claims 3 and 8, Kohler et al. discloses wherein the desired parameter is a phase angle between the voltage and current (fig 10 (68)).

In regard to claims 5 and 10, Kohler et al. discloses wherein the one desired parameter includes a dissipation factor (in the specification pgs 7-8 paragraphs 23-24, applicant describes this as a tangent of the angular relationship found, Kohler et al. discloses this parameter in fig 10 (68), col 4 line 57-68).

In regard to claim 11, Kohler et al. discloses where the output from the current sensor is digitized (fig 9 (44)) and filtered (col 5 line 45-46).

In regard to claims 12 and 18, Kohler et al. discloses a rotating electric machine (col 49-51) comprising: stator and rotor windings configured to conduct electric current and generate magnetic field by virtue of flow of the current, plurality of conductors to conduct electric current to the windings (this is common in a motor system and seen in fig 8); an insulation system for insulating the windings (col 1 line 52-54); the values for instantaneous current and instantaneous phase voltage of at least one winding (abstract) are measured via a current sensor (fig 8 (32, 34, 36)) and a voltage sensor (fig 8 (28, 30, 32)); and a processing module coupled to the current sensor and voltage sensor (CPU coupled by channels in fig 8 and 9), the processing module being configured to convert the values for instantaneous current and instantaneous phase voltage to respective values for phasor current and phasor voltage, and wherein the processing module is further configured to calculate an angular relationship between the phasor current and phasor voltage and generating an output based on the relationship (CPU fig 9 (48) controls fig 10; col 5 line 27-46) as an indication of insulation condition (abstract and col 6 line 13-19).

Kohler et al. lacks specifically stating wherein the current measured is a differential current, and the sensor is for sensing a differential current.

Kammer et al. teaches a device for monitoring insulation in a electrical network (abstract), in monitoring for these faults, a differential current is detected (col 1 line 61-61) as is a voltage (61-62) and an angle is measured between the values to determine if the network has a network has a fault (col 1 line 67 – col 2 line 1-3), the differential current is measured with a differential current sensor (col 3 line 56-62).

In regard to claims 13 and 19, Kohler et al. discloses a system for filtering (col 5 line 45-46) and digitizing (fig 9 (44)) the output from the sensors.

In regard to claims 14 and 20, Kohler et al. contains memory means for values obtained (fig 9 (46 and 52)).

Kohler et al. lacks specifically stating that the output generated by the processing module is stored in memory.

It would have been obvious to one with ordinary skill in the art at the time the invention was made that Kohler would store the output of the CPU in order for the user to be able to pull information regarding how many faults occur in a certain time period (col 6 line 41-47).

In regard to claims 15-17, 21, 27, and 28, Kohler et al. discloses an indicator module coupled to the processing module (indicator is part of the CPU), the indicator

indicates the insulation condition based on the output from the processing module, that is compared to a predetermined threshold, and if the value exceeds the predetermined threshold the indicator generates an alert (col 6 line 13-19).

In regard to claim 18, Kohler et al. discloses wherein the machine is a three phase rotating machine (abstract).

In regard to claims 24 and 25, insulation condition is monitored for individual circuits per phase and at each coil (abstract; monitored at each input of motor).

In regard to claim 26, wherein insulation condition is monitored for the entire machine (col 1 line 6-9).

In regard to claim 30, Kohler et al. discloses a computer program (col 5 line 27-42; CPU controls all processes with programming contained in ROM or RAM) for monitoring insulation (col 1 line 15-20) of a rotating electric machine (col 1 line 49-52) comprising a routine for calculating a second set of values for a phasor current and a phasor voltage based upon the first set of values of an instantaneous differential current and an instantaneous voltage (fig 10 (62 and 64)); calculating an angular relationship between the phasor current and voltage (fig 10 (66)); and determining insulating condition based on the relationship (end of abstract).

6. Claims 4 and 9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kohler et al. (5270640) and Kammer et al. (6392422) as applied to claims 1 and 6 above, and in further view of Kildishev et al. (3746979).



Kohler et al. as modified lacks specifically wherein an AC insulation resistance value is determined.

Kildishev et al. discloses measuring the AC insulation resistance of a electrical rotation machine (abstract).

It would have been obvious to one with ordinary skill in the art at the time the invention was made for Kohler et al. as modified to determine an AC insulation resistance value as taught by Kildishev in order to protect the machine against an insulation break down (col 2 line 35-36).

7. Claim 22 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kohler et al. (5270640) and Kammer et al. (6392422), as applied to claim 18 above, and further in view of Ward (5194817).

Kohler et al. as modified lacks specifically stating that the machine could be a single phase rotating machine.

Ward discloses testing for insulation faults (abstract) in a single phase rotating machine (figs 3 and 5; col 6 42-48).

It would have been obvious to one with ordinary skill in the art at the time the invention was made for Kohler et al. as modified to test insulation on a single phase rotating machine as taught by Ward in order to asses the condition of winding insulating materials and monitor trends with time (col 1 line 29-33).

**Conclusion**

8. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Kueck et al. (5612601) teaches a method for assessing motor insulation on operating motors. Posedel (4996486) teaches inspecting laminated iron core stacks for faults and using current and voltage phases.


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jeff Natalini whose telephone number is 571-272-2266. The examiner can normally be reached on M-F 8-5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eddie Lefkowitz can be reached on 571-272-2180. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Jeff Natalini



  
**ANJAN DEB**  
**PRIMARY EXAMINER**